



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John Wasserbauer, et al.
Application No. : 09/991,568
Filed : November 16, 2001
Title : METHOD AND APPARATUS FOR PERFORMING
WHOLE WAFER BURN-IN
Grp./Div. : 2812
Examiner : To be assigned
Docket No. : 45921/SAH/C715

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PATENT
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TECHNOLOGY
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I HEREBY CERTIFY THAT THIS CORRESPONDENCE
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PATENT, WASHINGTON, D.C. 20231 ON

2/21/02
DATE

Christine Sherwood
SIGNATURE

LETTER TO CORRECT FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination's
Customer Service Center
Washington, D.C. 20231

P.O. Box 7068
Pasadena, CA 91109-7068
February 21, 2002

Commissioner:

When proofing the Filing Receipt for the above-identified application, we noticed that the previously filed request for non-publication was not acknowledged and a publication date was erroneously assigned to the above identified application. Applicant submits herewith

1. Exhibit A - Copy of Filing Receipt
2. Exhibit B - Copy of Postcard
3. Exhibit C - Copy of Application Transmittal
4. Exhibit D - Copy of Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

demonstrating that the request and certification under 35 U.S.C. 122(b)(2)(B)(i) was submitted with the originally filed application. Therefore, please correct the filing receipt as follows:

In the Projected Publication Date:

Delete "05/16/2002" and insert --Request for non-publication acknowledged.--

In the Non-Publication Request:

Delete "No" and insert -- Yes --, as indicated by the enclosed Request and Certification, Exhibit D.

Please forward a Corrected Filing Receipt to the undersigned.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

Peter A. Nichols
Reg. No. 47,822
(626) 795-9900

PAN/cks



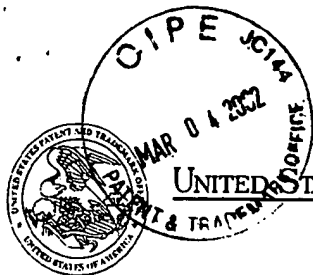
UNITED STATES PATENT AND TRADEMARK OFFICE

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Bib Data Sheet

CONFIRMATION NO. 3587

SERIAL NUMBER 09/991,568	FILING DATE 11/16/2001 RULE	CLASS 438	GROUP ART UNIT 2812	ATTORNEY DOCKET NO. 45921/PAN/C715
APPLICANTS John Wasserbauer, Erie, CO; Stewart A. Feld, Broomfield, CO;				
** CONTINUING DATA ***** THIS APPLN CLAIMS BENEFIT OF 60/249,138 11/16/2000				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY ** ** 12/05/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials	STATE OR COUNTRY CO	SHEETS DRAWING 23	TOTAL CLAIMS 29	INDEPENDENT CLAIMS 2
ADDRESS 23363				
TITLE Method and apparatus for performing whole wafer burn-in				
FILING FEE RECEIVED 516	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/991,568	11/16/2001	2812	516	45921/PAN/C715	23	29	2

CONFIRMATION NO. 3587

23363
CHRISTIE, PARKER & HALE, LLP
350 WEST COLORADO BOULEVARD
SUITE 500
PASADENA, CA 91105

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FEB 11 2002

UPDATED FILING RECEIPT



OC000000007442249

Christie, Parker & Hale, LLP

Date Mailed: 02/07/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

John Wasserbauer, Erie, CO;
Stewart A. Feld, Broomfield, CO;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/249,138 11/16/2000

CASE # **C715: 45921** ACTION _____
REMINDER _____ DUE DATE _____
DEADLINE _____

Foreign Applications

If Required, Foreign Filing License Granted 12/05/2001

Projected Publication Date: ~~05/16/2002~~ Request for non-publication acknowledgedNon-Publication Request: ~~No~~ Yes

Early Publication Request: No

** SMALL ENTITY **

Title

Method and apparatus for performing whole wafer burn-in

Preliminary Class

438

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

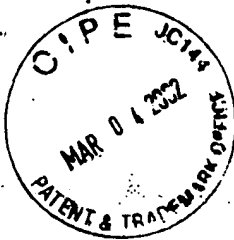
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICATION

Title: **A METHOD AND PARATUS FOR PERFORMING
WHOLE WAFER BURN-IN**Client ID **C715**Case No **45921**Atty/Sec **PAN/cks**Date Mailed **11/16/01**Express Mail No.
EL904792185USPriority Claimed? **YES**

Assignment Enclosed (List Assignee)

Check(s) **\$451.00**

Transmittal Letter(s)

Patent

Mark

Application (No. of Pages)

1+1**30**

Declaration & Pwr of Atty

Unexecuted IncludedInformal/~~Formal~~ Drawings**23**

3 Specimens/Photos/Facsimiles

N/A

Small Entity Declaration

N/A

Info Disc Stmt/PTO-1449

N/A

Petition Extension of Time

N/A

PCT

OTHER REQUEST AND CERTIFICATION
UNDER 35 U.S.C. 122(b)(2)(B)(1)

Applicant Use Only

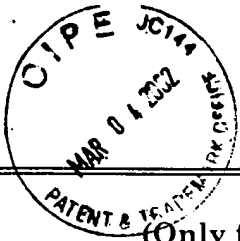
PATENT: ☒ ORD ☐ PRO ☐ PCT; ☐ ORP ☐ CPP ☐ DIV ☐ CON ☐ CIP ☐ REI ☐ REX OF ☐ DOCKET **40675**MARK: ☐ TM ☐ SM ☐ TM/SM ☐ COLL ☐ CERT; ☐ ITU ☐ 44d ☐ 44e ☐ DIVDOCKET: Y/N Standard ☒ FOR REV ☒ FOR DEADLINE Parent Case ☐ FOR REV/DEADLINEChecked by: **Pan**

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SERIAL NUMBER/FILING NO.

EL904792185US

REV2 5/99 FORM Postcard.1



UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 45921/PAN/C715/CLO154
Inventor(s) : John Wasserbauer and Stewart A. Feld
Title : A METHOD AND APPARATUS FOR PERFORMING WHOLE WAFER
BURN-IN
Express Mail Label No. : EL904792185US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: November 16, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

___ This application is a ___ of patent application No. .

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR 1.78(a)(4) to provisional Application No. 60/249,138.

3. **APPLICATION COMPRISED OF**

Specification

30 Specification, claims and Abstract (total pages)

Drawings

23 Sheets of formal drawing(s) (FIGS. 1 to 23)

Declaration and Power of Attorney

___ Newly executed

☒ Unexecuted declaration

___ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. ___ **Microfiche Computer Program** (Appendix)

5. ___ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

___ Computer Readable Copy

___ Paper Copy (identical to computer copy)

___ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

☒ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

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TECHNOLOGY CENTER 2800



**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: November 16, 2001

Docket No. : 45921/PAN/C715/CLO154
Inventor(s) : John Wasserbauer and Stewart A. Feld
Title : A METHOD AND APPARATUS FOR PERFORMING WHOLE WAFER
BURN-IN Duplicate X

FEE DETERMINATION

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	29- 20	9	9 x \$9.00	x \$18.00	\$81.00
INDEPENDENT CLAIMS	2 - 3	=	0 x \$42.00	x \$84.00	\$0.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	
BASIC FEE			\$370.00	\$740.00	\$370.00
TOTAL FILING FEE					\$451.00
List Independent Claims: 1 and 16					

METHOD OF PAYMENT

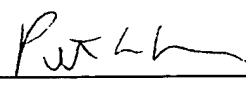
X Payment Enclosed: Check for \$451.00

X The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By


Peter A. Nichols
Reg. No. 47,822
626/795-9900

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 45921/PAN/C715/CLO154

7. ALSO ENCLOSED ARE

- ☐ Preliminary Amendment
 - ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
 - ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☒ Other: Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)


8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

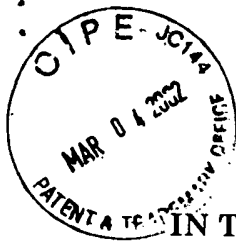
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By


Peter A. Nichols
Reg. No. 47,822
626/795-9900

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EL904792185US

Applicant : John Wasserbauer et al.
Filed : To be determined
Title : A METHOD AND APPARATUS FOR PERFORMING
WHOLE WAFER BURN-IN
Docket No. : 45921/PAN/C715/CLO154

REQUEST AND CERTIFICATION
UNDER 35 U.S.C. 122(b)(2)(B)(i)

Assistant Commissioner for Patents
Washington, D.C. 20231

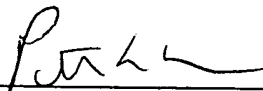
Post Office Box 7068
Pasadena, CA 91109-7068
November 16, 2001

Commissioner:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

Peter A. Nichols
Reg. No. 47,822
626/795-9900

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